

How to Subscribe to ROCHE-BRUNE's Funds

To subscribe shares of Roche-Brune fund domiciled with Société Générale 4 different options are available:

1st option: account with Société Générale

The client can open a cash and securities account with Société Générale to subscribe into the funds. The shares will be held into this account.

Orders can be sent by fax or electronic means (internet, files, swift...). Orders should be sent half an hour before the cut off of the fund (11:00 AM – CET).

A confirmation will be sent shortly after the NAV is published.

A standing instruction can be given for transferring out the cash from the repurchase orders.

Cash and security account reporting is available: frequency and communication to be determined according to the client's needs.

No transaction fees and no custody fees are charged to the account.

2nd option: French custodian

Orders can be processed and settled through a French custodian.

The client must have an account directly – or indirectly via its local custodian – opened with a French custodian.

The client forwards its order to its custodian. *It may at the same time send to the custodian a copy of the prospectus or indicate the fund is domiciled with Société Générale.*

The French custodian will forward the order to Société Générale centralising agent back office. Once the NAV is published the order will be confirmed between Société Générale and the French custodian, and the transaction will settle in Euroclear France. The French custodian will hold the shares in the client's account opened in its books.

Deadlines for cut off and reporting services provided are to be discussed between the client and its custodian.



3rd option: Euroclear Bank and Fundsettle:

The shares can be held within Euroclear Bank, however orders must be processed through Fundsettle (the order routing platform from Euroclear).

Orders input in Fundsettle platform are forwarded to Société Générale centralising agent back-office. Shortly after the NAV is published, the order is confirmed through Fundsettle by Société Générale. Settlement between Société Générale and Euroclear (client's account) is processed automatically.

If you intend to choose this option, we need to be informed in advance to ensure proper registration of the fund by Fundsettle.

4th option: Clearstream and Vestima :

The shares can be held within Clearstream, however orders must be processed through Vestima (the order routing platform from Clearstream).

Orders input in Vestima platform are forwarded to Société Générale centralising agent back-office. Shortly after the NAV is published, the order is confirmed through Vestima by Société Générale. Settlement between Société Générale and Clearstream (client's account) is processed automatically.

If you intend to choose this option, we need to be informed in advance to ensure proper registration of the fund by Vestima.